

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**Inventor:** Diana K. Smetters  
**Title:** METHOD AND APPARATUS FOR ESTABLISHING AND USING  
A SECURE CREDENTIAL INFRASTRUCTURE  
**Filing Date:** 05 September 2003  
**Serial Number:** 10/656,439  
**Group Art Unit:** 2132  
**Examiner:** Lemma, Samson B.

Listed below or on an attached Form PTO/SB/08a is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and foreign patent, except for pending U.S. Patents and published U.S. Applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO/SB/08a is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

— This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- (1) It is being filed within 3 months of the application filing date.  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage.  
-- OR --
- (3) It is being filed before the mail date of the first Office Action on the merits.  
-- OR --
- (4) It is being filed before the mail date of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

X This statement qualifies under 37 C.F.R. §1.97, subsection (c), because this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing date of a first Office action on the merits; or (4) the mail date of a first Office action after the filing of a request for continued examination, but before the mailing date of the earlier of a final office action

under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, and this statement is accompanied by one of:

X a certification as specified in §1.97(e) is provided below; or

— a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— This statement qualifies under 37 C.F.R. §1.97, subsection (d), because this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution, but is filed on or before payment of the issue fee, and this statement is accompanied by:

— a certification as specified in §1.97(e) is completed below; and

— a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

— This statement is being filed after the payment of the issue fee but before issuance of the patent.

X Statement under 37 C.F.R. 1.97(e) - I hereby certify that either:

(1) That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

(2) That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature: /Edward J. Grundler/

Edward J. Grundler (Reg. No.47,615) Date 22 January 2008

Respectfully submitted,

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